

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Group Art Unit: unknown
Steven Jay Lipton, et al. : Intellectual Property
Serial No: : Law Department
Filed: **Herewith** : International Business
: Machines Corporation
Title: **A Method and System for** : 11400 Burnet Road
Notification of Local Action Required to : Austin, Texas 78758
Contents of Electronic Mail Message

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

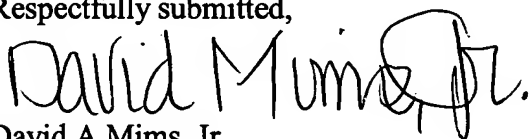
While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

PATENT

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1). A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

A handwritten signature in black ink that reads "David A. Mims, Jr." with a stylized flourish at the end.

David A Mims, Jr.

Attorney

Registration No. 32,708

Telephone No. 512-823-0950

In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

Serial No.

Applicant: IBM Corporation

Filing Date:

Group:

Atty. Docket No. AUS920030591US1

Reference Designation

U. S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
AA	5,476,420	12-19-95	Manning	462	06	06-30-93
AB	2002/ 0143881	10-03-02	DeLaCruz	709	206	03-29-01
AC						
AD						
AE						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
	WO 01/97432 A2	12-20-01	U.S.A.	H04L		
	JP2002063113A2	02-28-02	Japan	H04L		English Abstract
	JP2002164911A2	06-07-02	Japan	H04L		English Abstract

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner
Initial

AR
AS
AT
AU

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 1 of 1